

MARCH 23, 2000

NIXON & VANDERHYE P.C.
LEONARD C. MITCHARD
1100 NORTH GLEBE ROAD, 8TH FLOOR
ARLINGTON, VIRGINIA 22201

PTAS



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



101238976A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/16/1999

REEL/FRAME: 010465/0864
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

JONES, MICHAEL DAVID

DOC DATE: 10/25/1999

ASSIGNEE:

BP CHEMICALS LIMITED
BRITTANIC HOUSE 1 FINSBURY CIRCUS
LONDON, ENGLAND EC2M 7BA

SERIAL NUMBER: 09464799

PATENT NUMBER:

FILING DATE: 12/16/1999

ISSUE DATE:

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

51 100

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101238976

PATENTS ONLY

Our Ref.: 608 *00

Commissioner of Patents and Trademarks
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael David Jones

2. Name and address of receiving party(ies):

Name: BP Chemicals Limited

Internal Address:

Street Address: Brittanice HOuse

1 Finsbury Circus

City: London

State/Country: England

Zip: EC2M 7BA

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Assignment ☐ Change of Name
☐ Other

Execution Date: October 25, 1999

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

- (1) Unassigned
 (2)
 (3)

B. Patent No(s).

- (1)
 (2)
 (3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Leonard C. Mitchard

Internal Address:

Street Address: Nixon & Vanderhye P.C.

1100 North Glebe Road

8th Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Leonard C. Mitchard

Name of Person Signing

Signature

December 16, 1999

Date

Reg. No. 29,009

40.00 DP

Total number of pages including original cover sheet, attachments, and document:

[2]

ASSIGNMENT

WHEREAS, I Michael David JONES a subject of the Queen of Great Britain residing at 23 Westwood Road, Beverley, East Riding, Yorkshire, HU17 8EN, ENGLAND have invented certain new and useful improvements in "PROCESS FOR THE PRODUCTION OF CARBOXYLIC ACID" for which I have executed application for Letters Patent of the United States on the 25th day of October 1999.

AND, WHEREAS BP CHEMICALS LIMITED having place of business at Britannic House, 1 Finsbury Circus, London, EC2M 7BA, ENGLAND, are desirous of obtaining the entire right, title and interest in, to and under the said application; and all Letters Patent which may be issued thereupon in the United States;

NOW THEREFORE, for valuable consideration, the receipt and adequacy of which is hereby acknowledged, I, the said Michael David JONES have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto BP CHEMICALS LIMITED their successors, legal representatives and assigns, the entire right, titles and interest in, to and under the said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues and extensions thereof; and I hereby authorise and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for said improvements in accordance with the terms of this instrument.

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the assignee herein, its successors, legal representatives and assigns any facts known to me respecting said improvements, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful declarations, and generally do everything possible to aid the assignee herein, its successors, legal representatives and assigns in obtaining and enforcing proper patent protection for said improvements in the United States.

Dated:

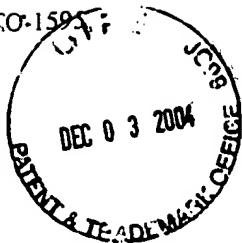
25/10/99



Michael David JONES

Witnessed





RECORDATION FORM COVER SHEET

PATENTS ONLY

Our Ref.: 608-180

Commissioner of Patents and Trademarks
Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

| | |
|---|---|
| <p>1. Name of conveying party(ies): Michael David Jones</p> <p>Additional name/s of conveying party/ies attached? <input type="checkbox"/></p> <p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Assignment <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p> <p>Execution Date: October 25, 1999</p> | <p>2. Name and address of receiving party(ies):</p> <p>Name: <u>BP Chemicals Limited</u> Internal Address: _____ Street Address: <u>Brittanic HOuse</u> <u>1 Finsbury Circus</u> City: <u>London</u> State/Country: <u>England</u> Zip: <u>EC2M 7BA</u></p> <p>Additional name/s & address/es attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> |
|---|---|

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: _____

| | |
|---|--|
| <p>A. Patent Application No(s). (1) Unassigned (2) (3)</p> | <p>B. Patent No(s). (1) (2) (3)</p> |
|---|--|

Additional numbers attached ☐ Yes ☒ No

| | |
|--|--|
| <p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Leonard C. Mitchard</u> Internal Address: _____ Street Address: <u>Nixon & Vanderhye P.C.</u> <u>1100 North Glebe Road</u> <u>8th Floor</u> City: <u>Arlington</u> State: <u>VA</u> Zip: <u>22201</u></p> | <p>6. Total number of applications & patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41) \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account #14-1140</p> <p>8. The Commissioner is hereby authorized to charge any <u>deficiency</u> in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. <u>14-1140</u>.</p> |
|--|--|

DO NOT USE THIS SPACE

9. Statements and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

| | |
|--|-------------------------------|
| <p><u>Leonard C. Mitchard</u> Name of Person Signing Reg. No. 29,009</p> | <p><u></u> Signature</p> |
| <p><u>December 16, 1999</u> Date</p> | |

Total number of pages including original cover sheet, attachments, and document: [2]

ASSIGNMENT

WHEREAS, I Michael David JONES a subject of the Queen of Great Britain residing at 23 Westwood Road, Beverley, East Riding, Yorkshire, HU17 8EN, ENGLAND have invented certain new and useful improvements in "PROCESS FOR THE PRODUCTION OF CARBOXYLIC ACID" for which I have executed application for Letters Patent of the United States on the 25th day of October 1999.

AND, WHEREAS BP CHEMICALS LIMITED having place of business at Britannic House, 1 Finsbury Circus, London, EC2M 7BA, ENGLAND, are desirous of obtaining the entire right, title and interest in, to and under the said application; and all Letters Patent which may be issued thereupon in the United States;

NOW THEREFORE, for valuable consideration, the receipt and adequacy of which is hereby acknowledged, I, the said Michael David JONES have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto BP CHEMICALS LIMITED their successors, legal representatives and assigns, the entire right, titles and interest in, to and under the said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues and extensions thereof; and I hereby authorise and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for said improvements in accordance with the terms of this instrument.

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the assignee herein, its successors, legal representatives and assigns any facts known to me respecting said improvements, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful declarations, and generally do everything possible to aid the assignee herein, its successors, legal representatives and assigns in obtaining and enforcing proper patent protection for said improvements in the United States.

Dated:

25/10/99



Michael David JONES

Witnessed



PART B—ISSUE FEE TRANSMITTAL

Complete and mail this form, together with applicable fees, to:

Box ISSUE FEE
Assistant Commissioner for Patents
Washington, D.C. 20231

MA.. INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Issue Fee Receipt, the Patent, advance order and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

HM32/0619

NIXON & VANDERHYDE PC
1100 NORTH GLEBE ROAD
8TH FLOOR
ARLINGTON VA 22201-4714

Note: The certificate of mailing below can only be used for domestic mailings of the Issue Fee Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

Certificate of Mailing

I hereby certify that this Issue Fee Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

(Depositor's name)

(Signature)

(Date)

| APPLICATION NO. | FILING DATE | TOTAL CLAIMS | EXAMINER AND GROUP ART UNIT | DATE MAILED |
|--|-------------|--------------|-----------------------------|-------------|
| 09/464,799 | 12/16/99 | 023 | DEEMIE, R 1623 | 06/19/01 |
| First Named Applicant JONES, 35 USC 154(b) term ext. - 0 Days. | | | | |

TITLE OF PROCESS FOR THE PRODUCTION OF CARBOXYLIC ACID
INVENTION

| ATTY'S DOCKET NO. | CLASS-SUBCLASS | BATCH NO. | APPLN. TYPE | SMALL ENTITY | FEE DUE | DATE DUE |
|-------------------|----------------|-----------|-------------|--------------|-----------|----------|
| 1 608-1 | 562-519.000 | I01 | UTILITY | NO | \$1240.00 | 09/19/01 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Nixon & Vanderhye

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE BP Chemicals Limited

(B) RESIDENCE: (CITY & STATE OR COUNTRY) London, England

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ individual ☐ corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☒ Issue Fee☐ Advance Order - # of Copies _____

4b. The following fees or deficiency in these fees should be charged to:

DEPOSIT ACCOUNT NUMBER _____
(ENCLOSE AN EXTRA COPY OF THIS FORM)

☐ Issue Fee☐ Advance Order - # of Copies _____

The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

Leonard C. Mitchard, Reg. No. 29,009

(Date)

09/19/01

NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE